



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION
 (Use several sheets if necessary)

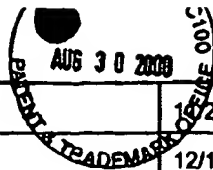
Atty. Docket No. 101.0044-04000		Serial No. 09/605,001 (Cont. of 08/396,414)				
Applicant Gary K. Michelson, M.D.						
Filing Date June 27, 2000		Group (3764)				
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
MB 1	Des. 245,259	8/2/77	Shen			
	Des. 257,511	11/11/80	Zahn			
	Des. 260,525	09/01/81	Lassiter			
	Des. 281,814	12/17/85	Pratt et al.			
	Lit. 1	Re. 31,865	4/16/85	Roux		
		Re. 34,871	3/7/95	McGuire et al.		
		350,420	10/5/1888	Dillon		
		1,137,585	4/27/15	Craig		
		2,065,659	12/29/36	Cullen		
		2,181,746	11/28/39	Siebrandt		
		2,243,718	5/27/41	De G. Moreira		
		2,372,622	3/27/45	Fassio		
		2,514,665	7/11/50	Myller		
		2,537,070	1/9/51	Longfellow		
		2,543,780	3/6/51	Hipps et al.		
		2,677,369	5/4/54	Knowles		
		2,774,350	12/18/56	Cleveland		
		2,789,558	4/23/57	Rush		
		2,832,343	4/29/58	Mose		
	2,842,131	7/8/58	Smith			
	2,878,809	03/24/59	Treace			
Lit. 2	3,128,768	4/14/64	Geistauts			
Lit. 1 ✓	3,298,372	1/17/67	Feinberg	✓	✓	

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MB	3,426,364	2/11/69	Lumb	—	—	
Lit. 2	3,486,505	12/30/69	Morrison			
Lit. 1	3,604,487	9/14/71	Gilbert			
	3,805,123	9/20/71	Hahn			
	3,618,611	11/09/71	Urban			
	3,709,219	1/9/73	Halloran			
	3,720,959	3/20/73	Hahn			
	3,750,652	8/7/73	Sherwin			
Lit. 2	3,848,601	11/19/74	Ma et al.			
	3,855,638	12/24/74	Pilliar			
	3,867,728	2/25/75	Stubstad et al.			
	3,867,950	02/25/75	Fischell			
Lit. 2	3,875,595	4/8/75	Froning			
	3,888,260	06/10/75	Fischell			
Lit. 2	3,892,232	7/1/75	Neufeld			
Lit. 1	3,905,047	9/16/75	Long			
	3,915,151	10/28/75	Kraus			
	3,916,907	11/04/75	Peterson			
	3,918,440	11/11/75	Kraus			
	3,942,535	03/09/76	Schulman			
	3,948,262	4/6/76	Zaffaroni			
	3,952,334	4/27/76	Bokros et al.			
	3,987,499	10/26/76	Scharbach et al.			
Lit. 1	4,016,651	4/12/77	Kawahara et al.			
	4,027,392	06/07/77	Sawyer et al.			
	4,051,905	10/4/77	Kleine			
	4,059,115	11/22/77	Jumashev et al.			
	4,070,514	1/24/78	Entherly et al.			
	4,082,097	04/04/78	Mann et al.			
Lit. 1	4,086,701	5/2/78	Kawahara et al.			
Lit. 1	4,124,026	11/7/78	Berner et al.			
	4,142,517	3/6/79	Stravopoulos et al.			
✓	4,168,326	9/18/79	Broemer et al.	✓	✓	



Lit. 1	MB	4,175,555	10/27/79	Herbert		
Lit. 1		4,177,524	12/11/79	Grell et al.		
		4,181,457	1/1/80	Holmes		
		4,187,850	04/15/80	Schulman et al.		
		4,206,516	6/10/80	Pilliar		
		4,222,128	9/16/80	Tomonaga et al.		
		4,232,679	11/11/80	Schulman		
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		4,237,948	12/9/80	Jones et al.		
		4,258,716	03/31/81	Sutherland		
Lit. 1		4,259,072	3/31/81	Hirabayashi et al.		
Lit. 1		4,262,369	4/21/81	Roux		
		4,271,832	6/9/81	Evans et al.		
		4,289,123	9/15/81	Dunn		
Lit. 1		4,293,962	10/13/81	Fuson		
		4,309,777	1/12/82	Patil		
Lit. 1		4,328,593	5/11/82	Sutter et al.		
		4,333,469	6/8/82	Jeffcoat et al.		
		4,341,206	7/27/82	Perrett et al.		
Lit. 1		4,349,921	9/21/82	Kuntz		
Lit. 1		4,356,572	11/2/82	Guillemin et al.		
		4,401,112	8/30/83	Rezaian		
		4,405,319	9/20/83	Cosentino		
		4,414,979	11/15/83	Hirshorn et al.		
		4,423,721	1/3/84	Otte et al.		
		4,439,152	3/27/84	Small		
		4,450,834	5/29/84	Fischer		
Lit. 1		4,484,570	11/27/84	Sutter et al.		
		4,492,226	1/8/85	Belykh et al.		
		4,497,320	02/05/85	Nicholson et al.		
Lit. 1 and 2		4,501,269	2/26/85	Bagby		
		4,507,115	3/26/85	Kambara et al.		
	✓	4,530,360	7/23/85	Duarte	✓	✓



Ogilvie, O.P.

MB	4,535,374	08/13/85	Anderson et al.	—	—	
	4,535,485	8/20/85	Ashman et al.			
	4,542,539	9/24/85	Rowe, Jr. et al.			
Lit. 2	4,545,374	10/8/85	Jacobson			
	4,547,390	10/15/85	Ashman et al.			
	4,549,547	10/29/85	Brighton et al.			
	4,552,200	11/12/85	Sinha et al.			
	4,553,273	11/19/85	Wu			
	4,554,914	11/26/85	Kapp et al.			
	4,570,623	2/18/86	Ellison et al.			
Lit. 2	4,570,624	2/18/86	Wu			
	4,592,346	06/03/86	Jurgutis			
	4,599,086	7/8/86	Doty			
	4,600,000	7/15/86	Edwards			
	4,602,638	07/29/86	Adams			
	4,604,995		Stephens			
	4,608,052	8/26/86	Van Kampen et al.			
	4,611,581	9/16/86	Steffee			
	4,619,264	10/28/86	Singh			
	4,628,921	12/16/86	Rouso			
	4,634,720	1/6/87	Dorman et al.			
	4,636,217	1/13/87	Ogilvie et al.			
	4,636,526	1/13/87	Dorman et al.			
	4,645,503	2/24/87	Lin et al.			
Lit. 1	4,653,486	3/31/87	Coker			
	4,653,509	3/31/87	Oloff et al.			
	4,655,777	4/7/87	Dunn			
	4,661,536	4/28/87	Dorman et al.			
	4,664,567	05/12/87	Edwards			
	4,665,920	5/19/87	Campbell			
	4,677,883	7/7/87	Lee			
	4,677,972	7/7/87	Tomier			
✓	4,693,721	9/15/87	Ducheyne	✓	✓	

MB	4,696,290	9/29/87	Steffee			
	4,698,375	10/6/87	Dorman et al.			
	4,710,075	12/1/87	Davison			
Lit. 1	4,713,004	12/15/87	Linkow et al.			
	4,714,469	12/22/87	Kenna			
	4,721,103	1/26/88	Freedland			
	4,736,738	4/12/88	Lipovsek et al.			
Lit. 1 and 2	4,743,256	5/10/88	Brantigan			
	4,743,260	5/10/88	Burton			
	4,759,766	7/26/88	Buettner-Janz et al.			
	4,759,769	7/26/88	Hedman et al.			
	4,769,881	09/13/88	Pedigo et al.			
	4,781,591	11/01/88	Allen			
	4,790,303	12/13/88	Steffee			
	4,805,602	02/21/89	Puno et al.			
	4,820,305	4/11/89	Harms et al.			
	4,830,000	5/16/89	Shutt			
Lit. 1 and 2	4,834,757	5/30/89	Brantigan			
Lit. 2	4,848,327	7/18/89	Perdue			
	4,851,008	7/25/89	Johnson			
Lit. 2	4,863,476	09/05/89	Shepperd			
	4,863,477	9/5/89	Monson			
	4,865,603	9/12/89	Noiles			
Lit. 1	4,877,020	10/31/89	Vich			
Lit 1 and 2	4,878,915	11/7/89	Brantigan			
	4,903,882	2/27/90	Long			
	4,904,260	2/27/90	Ray et al.			
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	4,936,848	6/26/90	Babgy			
	4,943,291	7/24/90	Tanguy			
	4,955,908	9/11/90	Frey et al.			

MB	4,955,885	9/11/90	Meyers		
	4,957,495	9/18/90	Kluger		
	4,960,420	10/2/90	Goble et al.		
	4,961,740	10/9/90	Ray et al.		
	4,968,316	11/6/90	Hergenroeder		
	4,969,888	11/13/90	Scholten et al.		
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	5,015,247	5/14/91	Michelson		
	5,015,255	5/14/91	Kuslich		
	5,026,373	6/25/91	Ray et al.		
	5,030,236	07/09/91	Dean		
	5,055,104	10/8/91	Ray		
	5,059,193	10/22/91	Kuslich		
	5,062,845	11/05/91	Kuslich et al.		
	5,071,437	12/10/91	Steffee		
Lit. 1	5,084,050	1/28/92	Draenert		
	5,102,414	4/7/92	Kirsch		
	5,105,819	04/1996	Wollschlager		
	5,108,422	04/28/92	Green et al.		
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	5,116,304	5/26/92	Cadwell		
	5,122,130	6/16/92	Keller		
	5,123,926	6/23/92	Pisharodi		
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	5,192,327	3/9/93	Brantigan		
	5,246,458	09/21/93	Graham		
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	5,263,953	11/23/93	Bagby		
	5,292,252	03/08/94	Nickerson et al.		
	5,306,309	4/26/94	Wagner et al.		
	5,314,427	05/24/94	Goble et al.		
	5,324,295	06/28/94	Shapiro		
✓	5,352,229	10/04/94	Goble et al.	✓	✓



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MB	5,360,430	11/01/94	Lin	—	—	
	5,364,399	11/15/94	Lowery et al.	↓	↓	
	5,370,662	12/6/94	Stone et al.	↓	↓	
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	5,393,038	2/28/95	Sheridan	↓	↓	
	5,396,880	3/14/95	Kagan et al.	↓	↓	
	5,397,364	3/14/95	Kozak et al.	↓	↓	
	5,425,772	6/20/95	Brantigan	↓	↓	
	5,435,723	7/25/95	O'Brien	↓	↓	
	5,489,307	2/6/96	Kuslich et al.	↓	↓	
	5,489,308	2/6/96	Kuslich et al.	↓	↓	
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Lit. 2	0 077 159	04/20/83	EPO	—	—	
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	0 179 695	4/30/86	France	↓	↓	
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	24 46 039	04/03/75	Germany	↓	↓	
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	61-122859	08/10/86	Japan	—	—
	62-155846	07/10/87	Japan	—	—
	84/01298	04/12/84	PCT	—	—
	91/06266	05/16/91	PCT	—	—
	92/14423	09/03/92	PCT	—	—
	93/01771	02/04/93	PCT	—	—
	1107854	08/15/84	Soviet Union	—	—
	1124960	11/23/84	Soviet Union	—	—
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Applicant Gary K. Michelson, M.D.

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Group (3764)

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
MB	5,279,292	01/1994	Baumann	—	—	

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MB	0 162 005	11/1985	EPO	—	—	Abstract Only
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	2 581 336	11/1986	France			Abstract Only
	2 703 580	10/1994	France			Abstract Only
Lit. 1	1961531	07/1970	Germany			U.K. Equivalent GB 1291470
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Lit. 1	DE 3505567 A1	06/1986	Germany			Abstract Only
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	DE 41 04 359 A1	08/1992	Germany			U.S. Equivalent US 5,279,292
	1291470	10/1972	Great Britain			N/A
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✓	60-31706	02/1985	Japan	✓	✓	Yes

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	1107854	08/1984	Soviet Union			Yes
	1124960	11/1984	Soviet Union			Abstract Only
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	1222254	04/1986	Soviet Union			Abstract Only
Lit. 1	283078	05/1985	Spain			U.S. Equivalent US 4,877,020
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Examiner	MB		Date Considered	12-16-04		
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						
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Substitute for FORM PTO-1449		Attorney Docket Number 101.0044-04000		Customer No. 22882		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) Sheet <u>1</u> of <u>2</u>		Applicant Gary K. Michelson, M.D.		Application Number 09/605,001		
		Filing Date June 27, 2000		Group Art Unit 3784		
PATENT & TRADEMARK OFFICE SEP 27 2003		EXAMINER M. Brown				
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Lit. 3b MB	3,719,186	03/1973	Merig, Jr.			
Lit. 3c	4,349,921	09/1982	Kuntz			
Lit. 3b/3c	4,570,624	02/1986	Wu			
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Lit. 3c	5,026,373	06/1991	Ray et al.			
Lit. 3a/3c	5,055,104	10/1991	Ray			
Lit. 3a/3c	5,489,307	02/1996	Kuslich et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)
Lit. 3a/3b/3c MB	EP 0 077 159	04/1983	Europe			N/A
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Lit. 3a/3b/3c MB	Cloward, Ralph B.; Surgical Techniques for Lumbar Disc Lesions; Codman; Signature Serial 3.					
Lit. 3c	Cloward, Ralph B.; Ruptured Cervical Intervertebral Discs: Removal of Disc & Osteophytes & Anterior Cervical Interbody Fusion (A.C.I.F.); Codman; Signature Series 4.					
Lit. 3	Cloward, Ralph B.; Recent Advances in Surgery of the Cervical Spine; pp. 285-293; German Society For Neurosurgery: Volume 2 Cervical Spine Operations; Excerpta Medica.					
Lit. 3a/3b	Otero-Vich, Jose M.; Anterior Cervical Interbody Fusion with Threaded Cylindrical Bone; pp. 750-753; Journal of Neurosurgery, November 1985, Volume 63, No. 5.					
Lit. 3c	Hutter, Charles George; Spinal Stenosis and Posterior Lumbar Interbody Fusion; pp. 103-114; Clinical Orthopaedics and Related Research; No. 193; The Association of Bone and Joint Surgeons.					
Lit. 3c	Lin, Paul M.; Posterior Lumbar Interbody Fusion; pp. 114-122; Charles C. Thomas; Springfield, Illinois.					
Lit. 3c	Lin, Paul M.; Lumbar Interbody Fusion: Principles and Techniques in Spine Surgery; Techniques and Complications; pp. 81, 98, 120, 146, 173, 180-184, 204, 224, 225, 231; Aspen Publishers, Inc.; 1989.					
Lit. 3c	Tan, S.B.; A Modified Technique of Anterior Lumbar Fusion with Femoral Cortical Allograft; pp. 83-93; The Journal of Orthopaedic Surgical Techniques, Volume 5, No. 3, 1990.					
Lit. 3a/3b	Muller, M.E.; Manual of Internal Fixation: Techniques Recommended by the AO Group; Second Edition, Expanded and Revised; pp. 3-20, 27-41, 53-58, 71-78, 94, 311, 320; Springer-Verlag; 1979.					
Lit. 3a/3b	Hienholzer, G.; Manual on the AO/ASIF Tubular External Fixator; pp. 85-91; Springer-Verlag; 1985.					
Lit. 3a/3b	Heim, Urs; Small Fragment Set Manual: Technique Recommended by the ASIF-Group; pp. 5-7, 10, 20, 21, 30; Springer-Verlag; 1974.					
Lit. 3c	Harmon, Paul H.; Anterior Excision and Vertebral Body Fusion Operation for Intervertebral Disk Syndromes of the Lower Lumbar Spine: Three- to Five-Year Results in 244 Cases; pp. 107-127; Clinical Orthopaedics and Related Research, No. 26, J.B. Lippincott Company, 1963.					

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Lit. 3c <i>mb</i>	Hamon, Paul H.; A Simplified Surgical Technic for Anterior Lumbar Discectomy and Fusion; Avoidance of Complications; Anatomy of the Retroperitoneal Veins; pp. 130-143; Clinical Orthopaedics and Related Research, No. 37, J.B. Lippincott Company, 1964.
Lit. 3b	Bullough, Peter G.; Atlas of Spinal Diseases; Figure 5.7; J.B. Lippincott Company, 1988
Lit. 3a	Butts, M.K.; Biomechanical Analysis of a New Method for Spinal Interbody Fixation; 1987 Symposium, American Society of Mechanical Engineers, "Advance in Bioengineering", Boston, MA (December 13-18, 1987).
Lit. 3	Canale, S. Terry; Campbell's Operative Orthopaedics; Volume 3, 9 th Edition; pp. 2191, 2216, 2459; Mosby, 1998.
EXAMINER <i>mb</i>	DATE CONSIDERED <i>12-16-04</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



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